March 20, 2013

Dear [Redacted]: $30(1)

Re: Your request for access to information under Part II of the Access to Information and Protection of Privacy Act [Our File #: SNL-001-2013].

On January 2, 2013, Service NL received your request for access to the following records:

“Records related to a Mortgage Broker’s Licence (04-07-WD007-1) for William J. Dormody. Request includes correspondence between Mr. Dormody and the Department, in any and all formats; any complaints filed against Mr. Dormody; most recent Annual Report filed by Mr. Dormody, plus any appended/related documents.”

I am pleased to inform you that your request for access to these records has been granted in part.

Access to the remaining records, and/or information contained within the records, has been refused in accordance with the following exceptions to disclosure, as specified in the Access to Information and Protection of Privacy Act (the Act):

Disclosure of personal information
30 (1) The head of a public body shall refuse to disclose personal information to an applicant.

Disclosure harmful to business interests of a third party
27. (1) The head of a public body shall refuse to disclose to an applicant information that would reveal
   (c) commercial, financial, labour relations, scientific or technical information the disclosure of which could reasonably be expected to
   (i) harm the competitive position of a third party or interfere with the negotiating position of the third party,

As required by subsection 7(2) of the Act, we have severed information that is excepted from disclosure and have provided you with as much information as possible.

In accordance with your request for a copy of the records, the appropriate copies have been enclosed.
Section 43 of the *Act* provides that you may ask the Information and Privacy Commissioner to review this partial refusal of access or you may appeal the refusal to the Supreme Court Trial Division. A request to the Information and Privacy Commissioner shall be made in writing within 60 days of the date of this letter or within a longer period that may be allowed by the Commissioner.

The address and contact information of the Information and Privacy Commissioner is as follows:

Office of the Information and Privacy Commissioner  
34 Pippy Place  
P. O. Box 13004, Stn. A  
St. John’s, NL A1B 3V8  

Telephone: (709) 729-6309  
Facsimile: (709) 729-6500

In the event that you choose to appeal to the Trial Division, you must do so within 30 days of the date of this letter. Section 60 of the *Act* sets out the process to be followed when filing such an appeal.

If you have any further questions, please feel free to contact Susanna Duke at 709-729-2544.

Sincerely,

[Signature]

DAVID NORMAN  
Deputy Minister  
Service NL

Enclosures
MORTGAGE BROKER REGISTRATION

This is to certify that

WILLIAM J. DORMODY
O/A YOUR LENDING PARTNER

is registered pursuant to the provisions of the

MORTGAGE BROKERS ACT

to carry on within Newfoundland and Labrador the business of

MORTGAGE BROKER

This registration is effective from December 1, 2004.
Dated this 1st day of December, 2004

Restrictions may be stipulated on the reverse side.

REGISTRAR
**APPLICATION**
**MORTGAGE BROKER’S LICENCE**
**MORTGAGE BROKERS ACT**

**TYPE OF BUSINESS:** ☑ SOLE PROPRIETOR

**CORPORATION OR PARTNERSHIP**
- Legal Name of Business

**SOLE PROPRIETOR**
- Last Name: **Dowdy**
- First Name: **William**
- Middle Name(s): **J**

**BUSINESS OPERATING/TRADE NAME (IF APPLICABLE)**
- Your Lending Partner

**CONTACT PERSON**
- section 30 (1)

**MAILING ADDRESS OF BUSINESS**
- Street Name and No.: [redacted]
- P.O. Box, R.R. No.: [redacted]
- City/Town: [redacted]
- Province/State: **Nfld**
- Postal Code: [redacted]
- Business Telephone No.: [redacted]
- Business Fax No.: [redacted]
- E-Mail Address: [redacted]
- Business Registration No. (if applicable): [redacted]

**ADDRESS FOR SERVICE IN NEWFOUNDLAND AND LABRADOR**
- Street Name and No., P.O. Box, R.R. No.: [redacted]
- City/Town: [redacted]
- Province: [redacted]
- Postal Code: [redacted]
- Telephone No.: [redacted]
- Fax No.: [redacted]
- E-Mail Address: [redacted]

**CRIMINAL RECORD SCREENING**
- Have you or any partners, officers, directors or contact persons for your business been charged with any criminal offence under any statute of the Parliament of Canada in the past 5 years? If Yes, please attach particulars.

- Have you or any partners, officers, directors or contact persons for your business been convicted or found guilty of an offence under the law of any country, state or province in the past 5 years? (include absolute discharge and conditional discharge.) If Yes, please attach particulars.

---

Trade Practices Division, 2nd Floor, West Block, Confederation Building, P.O. Box 8700, St. John’s, NL A1B 4J6
Telephone (709) 729-2660 Fax No. (709) 729-3305 www.gov.nl.ca/gs/pl
<table>
<thead>
<tr>
<th>Residence Mailing Address</th>
<th>section 30 (1)</th>
</tr>
</thead>
<tbody>
<tr>
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<tr>
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<td>section 30 (1)</td>
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<tr>
<td>Postal Code</td>
<td>section 30 (1)</td>
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<tr>
<td>Residence Telephone No.</td>
<td>section 30 (1)</td>
</tr>
<tr>
<td>Residence Fax No.</td>
<td>section 30 (1)</td>
</tr>
<tr>
<td>E-Mail Address</td>
<td>section 30 (1)</td>
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</tbody>
</table>

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<th>Social Insurance Number</th>
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<td>section 30 (1)</td>
</tr>
</tbody>
</table>

**CERTIFICATION**

I, **William O'Kennedy**, certify that I am the applicant, or an officer, director or partner of the applicant and am properly authorized to make this application; that all applicable municipal, provincial and federal laws shall be observed; that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the licence.

**DATE**

**Nov 26/04**

**NAME (PLEASE PRINT)**

**William O'Kennedy**

October 15, 2004
October 30, 2005

WILLIAM J. DORMODY

Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2005.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation.

Sincerely,

Compliance Officer

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
GOVERNMENT OF
NEWFOUNDLAND AND LABRADOR

Department of Government Services
Consumer and Commercial Affairs
Financial Services Regulation Division

Registered Mail

January 24, 2006

William J. Dormody
Your Lending Partner

Dear Licensee:

RE: NOTICE OF CANCELLATION OF LICENCE

**Mortgage Broker Licence**

**Licence No: 04-07-WD007-1**

Subsection 3(2) of the *Mortgage Brokers Act* states that a registration remains in effect, subject to
the filing of annual reports and the payment of an annual fee as prescribed by the minister, until it
is suspended or cancelled.

A review of our records disclosed that you failed to comply with this requirement by not filing your
annual report and paying the annual fee of $300 which was due on December 31, 2005.

Take notice that your licence will be cancelled on February 15, 2006 if the attached report and
the fee of $300 is not received in this office on or before February 14, 2006.

Yours truly,

[Signature]

DOUGLAS CONNOLLY C.G.A.
Registrar of Mortgage Brokers

Enclosure
November 3, 2006

WILLIAM J. DORMODY

Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2006.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation.

Sincerely,

Compliance Officer

P.O. Box 8700, St. John’s, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
GOVERNMENT OF
NEWFOUNDLAND AND LABRADOR

MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2006 TO January 1, 2007

TO: DEPARTMENT OF GOVERNMENT SERVICES
Financial Services Regulation Division
P. O. Box 8700
St. John's, NL
A1B 4J6

Street Address
2nd Floor, West Block
Confederation Building
St. John's, NL
A1B 4J6
Telephone: (709) 729-2595
Facsimile: (709) 729-3205

FOR OFFICE USE ONLY
Licence Number: 04-07-WD0007-1
Receipt Number: X441029
Date of Receipt: 04/18/07
Fees Paid: $300
Effective Date: December 01, 2004

License Number: 04-07-WD0007-1
Effective Date: December 01, 2004
Licence Fees: $300.00
Filing Due Date: December 31, 2005

Please make any changes or corrections in this column:

section 30 (1)

Licencee
WILLIAM J. DORMODY

Contact

Trade Name
YOUR LENDING PARTNER

Other Information

1. Has the licensee or any officers, directors, partners or designated representatives of the licensee:
been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?
If yes, give name and full particulars:

CERTIFICATION

Signature of Licensee or Authorized Signing Official

Date

Financial Services Regulation Division
JAN 31 2006
Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2006.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation.

Sincerely,

[Signature]

Compliance Officer

P.O. Box 8760, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
November 3, 2006

WILLIAM J. DORMODY

Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2006.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation.

Sincerely,

[Signature]

Compliance Officer

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3295
GOVERNMENT OF NEWFOUNDLAND AND LABRADOR

MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2007 TO January 1, 2008

TO: DEPARTMENT OF GOVERNMENT SERVICES
Financial Services Regulation Division
P. O. Box 8700
St. John's, NL
A1B 4J6

Street Address
2nd Floor, West Block
Confederation Building
St. John's, NL
A1B 4J6
Telephone: (709) 729-2595
Facsimile: (709) 729-3205

FOR OFFICE USE ONLY
Licence Number: 04-07-WD007-1
Receipt Number: 
Date of Receipt: 
Fees Paid: 
Effective Date: 

Licence Number: 04-07-WD007-1
Effective Date: December 31, 2005
Licence Fees: $300.00
Filing Due Date: December 31, 2006

Licensee
WILLIAM J. DORMODY

Contact

Trade Name
YOUR LENDING PARTNER

Other Information

1. Has the licensee or any officers, directors, partners or designated representatives of the licensee:

   been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?

   If yes, give name and full particulars:

   section 30 (1)

CERTIFICATION

I, ____________________________, certify that I am the licensee or an officer, director or partner of the licensee and am properly authorized to make this annual filing; that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the licence.

Signature of Licensee or Authorized Signing Official

Name (Please Print)

Date
WILLIAM J. DORMODY  
MORTGAGE BROKER Licence  
04-07-WD007-1

Re: Annual Return and Annual Fee Confirmation

Section 20 of the Insurance Companies Act states that a licence remains in effect subject to the filing of an annual return and payment of an annual fee.

This is to confirm that the above noted licensee has filed the annual return and paid the annual fee due December 31, 2006.

The licensee is reminded that the next annual return and fee are due on December 31, 2007.

[Signature]
Regisrar

P.O. Box 8700, St. John's, NL, Canada, A1B 4J6  
Telephone (709) 729-2595, Facsimile (709) 729-3205
Applicant Information

WD007
DORMODY, WILLIAM J.

Birth Date:
Birth Place:
SIN:
Registry File No.:

Licence Information

04-07-WD007-1
Fee: $300.00
MORTGAGE BROKER

Issue Date: January 31, 2006
Effective Date: December 1, 2004
Expiry Date: December 31, 2006
Suspension Date:

Trade Name: YOUR LENDING PARTNER
Number of Licensees Sponsored:
General Restrictions:
Restrictions:
Fee Waiver:
Designated Representative:
Receipt Number: X44029

Licence Comments

2006.11.13: ANNUAL FILING SENT TO --- RET'D BY POST OFFICE. RESENT TO NEW ADDRESS

Unlicensed Branch Office Information

Sponsor Information

Trade Name:
Phone:
Fax:
Lic #: 

Bond Information

Amount:
Number:
Company:
Termination Date:

Certification Information

Exams: No
Certificate of Authority: No
Other: No
Expiry Date:
GOVERNMENT OF
NEWFOUNDLAND AND LABRADOR

MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2007 TO January 1, 2008

TO: DEPARTMENT OF GOVERNMENT SERVICES
Financial Services Regulation Division
P. O. Box 8700
St. John’s, NL
A1B 4J6
Street Address
2nd Floor, West Block
Confederation Building
St. John’s, NL
A1B 4J6
Telephone: (709) 729-2595
Facsimile: (709) 729-3205

FOR OFFICE USE ONLY
Licence Number: 04-07-WD007-1
Receipt Number: X121777
Date of Receipt: 2007-05-24
Fees Paid: 300
Effective Date:

Licence Number: 04-07-WD007-1
Effective Date: December 31, 2005
Licence Fees: $300.00
Filing Due Date: December 31, 2006

Please make any changes or corrections in this column:

section 30 (1)

Trade Name
YOUR LENDING PARTNER

Other Information

1. Has the licensee or any officers, directors, partners or designated representatives of the licensee:

been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?
If yes, give name and full particulars:

section 30 (1)

CERTIFICATION

[Signature]
William J. Dormody

I, William J. Dormody, certify that I am the licensee or an officer, director or partner of the licensee and am properly authorized to make this annual filing; that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the licence.

section 30 (1)

Signature of Licensee or Authorized Signing Official

Name (Please Print)

Date

May 24, 2007
November 7, 2007

Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2007.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation.

Sincerely,

Compliance Officer

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2395, Facsimile (709) 729-3265
Registered Mail

March 27, 2008

William Dormody

Dear Licensee:

**RE: NOTICE OF CANCELLATION OF LICENCE**

*Mortgage Broker Licence*  
*Licence No: 04-07-WD007-1*

Subsection 3(2) of the *Mortgage Brokers Act* states that a registration remains in effect, subject to the filing of annual reports and the payment of an annual fee as prescribed by the minister, until it is suspended or cancelled.

A review of our records disclosed that you failed to comply with this requirement by not filing your annual report and paying the annual fee of $300 which was due on December 31, 2007.

Take notice that your licence will be cancelled on April 25, 2008 if the attached report and the fee of $300 are not received in this office on or before April 23, 2008.

Yours truly,

Douglas Connolly C.G.A.  
Registrar of Mortgage Brokers

Enclosure
MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2008 TO December 31, 2008

TO: DEPARTMENT OF GOVERNMENT SERVICES
Financial Services Regulation Division
P. O. Box 8700
St. John's, NL
A1B 4J6

Street Address
2nd Floor, West Block
Confederation Building
St. John's, NL
Telephone: (709) 729-2595
Facsimile: (709) 729-3205

FOR OFFICE USE ONLY

License Number: 04-07-WD007-1
Receipt Number: 351725
Date of Receipt: 12/30/08 04:13
Fees Paid: 300
Effective Date:

Licence Number: 04-07-WD007-1
Effective Date: December 31, 2006
Licence Fees: $300.00
Filing Due Date: December 31, 2007

Please make any changes or corrections in this column:

PROCESSED

Licensee
WILLIAM J. DORMODY

Contact

Trade Name
YOUR LENDING PARTNER

Other Information
1. Has the licensee or any officers, directors, partners or designated representatives of the licensee:
been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?
If yes, give name and full particulars.

CERTIFICATION

I, WILLIAM J. DORMODY, certify that I am the licensee or an officer, director or partner of the licensee and am properly authorized to make this annual filing; that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the license.

Signature of Licensee or Authorized Signing Official

Name (Please Print)

Date

section 30 (1)

section 30 (1)
Applicant Information

DORMODY, WILLIAM J.

Licence Information
04-07-WD007-1 Fee: $300.00
MORTGAGE BROKER

Issue Date: May 24, 2007
Effective Date: December 1, 2004
Expiry Date: December 31, 2007

Trade Name: YOUR LENDING PARTNER
Number of Licensees Sponsored:
General Restrictions:
Restrictions:
Fee Waiver:
Designated Representative:
Receipt Number: X48713

Licence Comments
2008.03.27 FINAL CANCELLATION NOTICE SENT REGISTERED MAIL. NB

Unlicensed Branch Office Information

Sponsor Information

Trade Name:
Phone:
Fax:
Lic #:

Bond Information

Amount:
Company:
Number:
Termination Date:

Certification Information

Exams: No
Certificate of Authority: No
Other: No
Expiry Date:
Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2008.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation.

Sincerely,

Compliance Officer

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
Registered Mail

November 10, 2009

William J. Dormody
Your Lending Partner

Dear Licensee:

RE: NOTICE OF CANCELLATION OF LICENCE

Mortgage Broker Licence
Licence No: 04-07-WD007-1

Subsection 3(2) of the Mortgage Brokers Act states that a registration remains in effect, subject to the filing of annual reports and the payment of an annual fee as prescribed by the minister, until it is suspended or cancelled.

A review of our records disclosed that you failed to comply with this requirement by not filing your annual report and paying the annual fee of $300 which was due on December 31, 2008. Your annual report for 2009-2010 is due on December 31, 2009 as well.

Take notice that your licence will be cancelled on December 2, 2009 if the attached report and fee of $600 is not received in this office on or before December 1, 2009.

Yours truly,

DOUGLAS CONNOLLY C.G.A.
Registrar of Mortgage Brokers

Enclosure
Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2010.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation,

LICENSING SECTION

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3305
Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is April 30, 2012.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank you for your co-operation,

LICENSING SECTION

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
Registered Mail
November 6, 2012

Dear Licensee:

RE: NOTICE OF CANCELLATION OF LICENCE
Mortgage Broker Registration
No: 04-07-WD007-1

Subsection 3(2) of the Regulations pursuant to the Mortgage Brokers Act states that a registration remains in effect subject to the filing of annual reports and the payments of an annual fee as prescribed by the minister until it is withdrawn by the registered person or it is suspended or cancelled under the Act.

A review of our records disclosed that you failed to comply with this requirement by not filing your annual report and paying the annual fee of $300 which was due on April 30, 2012.

If the attached report and fee of $300 is not received in this office on or before November 26, 2012, your registration will be cancelled on November 27, 2012, for failure to comply with Section 3(2) of the Regulations.

Yours truly,

Douglas J. Connolly, C.G.A.
Registrar of Mortgage Brokers
MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2009 TO January 1, 2010

TO: DEPARTMENT OF GOVERNMENT SERVICES
Financial Services Regulation Division
P.O. Box 8700
St. John's, NL
A1B 4J6

Street Address
2nd Floor, West Block
Confederation Building
St. John's, NL
A1B 4J9
Telephone: (709) 729-2595
Facsimile: (709) 729-3205

FOR OFFICE USE ONLY

Licence Number: 04-07-WD007-1
Receipt Number: X58791
Date of Receipt: 3/11/2009
Fees Paid: 
Effective Date: 

Licence Number: 04-07-WD007-1
Effective Date: December 31, 2007
Licence Fees: $300.00
Filing Due Date: December 31, 2008

Please make any changes or corrections in this column:

Section 30 (1)

Licensee
WILLIAM J. DORMODY

Contact

Trade Name
YOUR LENDING PARTNER

Other Information

1. Has the licensee or any officers, directors, partners or designated representatives of the licensee been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?
If yes, give name and full particulars:

Section 30 (1)

CERTIFICATION

I, WILLIAM J. DORMODY, certify that I am the licensee or an officer, director or partner of the licensee and am properly authorized to make this annual filing, that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the licence.

Signature of Licensee or Authorized Signing Official

WILLIAM J. DORMODY
Name (Please Print)

Nov 12 /09
Date
November 27, 2009

WILLIAM J. DORMODY
MORTGAGE BROKER LICENCE
04-07-WD007-1

Re: Annual Return and Annual Fee Confirmation

The Act states that a licence remains in effect subject to the filing of an annual return and payment of an annual fee.

This is to confirm that the above noted licensee has filed the annual return and paid the annual fee due December 31, 2009.

The licensee is reminded that the next annual return and fee are due on December 31, 2010.

[Signature]
Registar
Re: Annual Return and Annual Fee Confirmation

The Act states that a licence remains in effect subject to the filing of an annual return and payment of an annual fee.

This is to confirm that the above noted licensee has filed the annual return and paid the annual fee due December 31, 2008.

The licensee is reminded that the next annual return and fee are due on December 31, 2009.

P.O. Box 8700, St. John’s, NL, Canada, A1B 4J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2009 TO January 1, 2010

Licence Number: 04-07-WD007-1
Receipt Number: X584491
Date of Receipt: 2011-11-27
Fees Paid: $300.00
Effective Date: 2010-11-27
Licence Number: 04-07-WD007-1
Effective Date: December 31, 2008
Licence Fees: $300.00
Filing Due Date: December 31, 2008

Please make any changes or corrections in this column:

1. Has the licensee or any officers, directors, partners or designated representatives of the licensee been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?
   If yes, give name and full particulars:

CERTIFICATION

I, William Dormody, certify that I am the licensee or an officer, director or partner of the licensee and am properly authorized to make this annual filing; that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the licence.

Signature of Licensee or Authorized Signing Official

William Dormody
Name (Please Print)

Date
Nov 12/09
WILLIAM J. DORMODY
MORTGAGE BROKER LICENCE
04-07-WD007-1

Re: Annual Return and Annual Fee Confirmation

The Act states that a licence remains in effect subject to the filing of an annual return and payment of an annual fee.

This is to confirm that the above noted licensee has filed the annual return and paid the annual fee due April 4, 2011.

The licensee is reminded that the next annual return and fee are due on April 30, 2012.

P.O. Box 8700, St. John's, NL, Canada, A1B 4J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
Dear Sir/Madam:

RE: THE MORTGAGE BROKERS ACT
MORTGAGE BROKER LICENCE NO: 04-07-WD007-1

The annual filing due date for your current license under the above-noted Act is December 31, 2010.

Enclosed is your Annual Filing Notice. In order to ensure that your license remains in effect, you are required to complete the filing notice and return it to this office, together with the annual filing fee, NO LATER THAN 30 DAYS PRIOR TO THE ANNUAL FILING DUE DATE.

The filing fee for your license is $300.00.

If you do not intend to continue operations for which you are currently licensed, please notify this office accordingly.

Thank-you for your co-operation,

LICENSING SECTION

P.O. Box 8700, St. John's, NL, Canada, A1B 1J6
Telephone (709) 729-2595, Facsimile (709) 729-3205
MORTGAGE BROKER LICENCE
THE MORTGAGE BROKERS ACT
ANNUAL FILING FOR THE PERIOD January 1, 2011 TO January 1, 2012

TO: DEPARTMENT OF GOVERNMENT SERVICES
Financial Services Regulation Division
P. O. Box 8700
St. John's, NL
A1B 4J6

Street Address
2nd Floor, West Block
Confederation Building
St. John's, NL
A1B 4J6

Telephone: (709) 729-2565
Facsimile: (709) 729-3205

FOR OFFICE USE ONLY

Licence Number: 04-07-WD007-1
Receipt Number: 96105
Date of Receipt: 2011.12.24
Fees Paid: $25.00
Effective Date: 2012.01.01

Licence Number: 04-07-WD007-1
Effective Date: December 31, 2009
Licence Fees: $300.00
Filing Due Date: December 31, 2010

Please make any changes or corrections in this column:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

section 30 (1)

Trade Name
YOUR LENDING PARTNER

Other information

1. Has the licensee or any officers, directors, partners or designated representatives of the licensee:
   been convicted of a criminal offence within the last twelve months or have any outstanding charges against them?
   If yes, give name and full particulars:
   ______________________________________________________________________
   ______________________________________________________________________
   ______________________________________________________________________

section 30 (1)

CERTIFICATION

I, WILLIAM J. DORMODY, certify that I am the licensee or an officer, director or partner of the licensee and am properly authorized to make this annual filing that all the information given by me is true and complete and that I realize failure to provide full and true information may result in suspension or revocation of the licence.

section 30 (1)

Signature of Licensee or Authorized Signing Official

WILLIAM DORMODY

Name (Please Print)

March 17, 2011

Date